

# Inter-band Bispectral Analysis of EEG Background Activity to Characterize Alzheimer's Disease Continuum

Aarón Maturana-Candelas<sup>1,2,\*</sup>, Carlos Gómez<sup>1,2</sup>, Jesús Poza<sup>1,2,3</sup>, Saúl J. Ruiz-Gómez<sup>1,2</sup> and Roberto Hornero<sup>1,2,3</sup>

<sup>1</sup> Biomedical Engineering Group, E.T.S.I. de Telecomunicación, Universidad de Valladolid, 47011 Valladolid, Spain

<sup>2</sup> Centro de Investigación Biomédica en Red en Bioingeniería, Biomateriales y Nanomedicina, (CIBER-BBN), Spain

<sup>3</sup> Instituto de Investigación en Matemáticas (IMUVA), Universidad de Valladolid, 47011 Valladolid, Spain

Correspondence\*:

Aarón Maturana-Candelas

aaron.maturana@gib.tel.uva.es

## 2 ABSTRACT

3 The aim of this study was to characterize the EEG alterations in inter-band interactions along the  
4 Alzheimer's disease (AD) continuum. For this purpose, EEG background activity from 51 healthy  
5 control subjects, 51 mild cognitive impairment patients, 50 mild AD patients, 50 moderate AD  
6 patients, and 50 severe AD patients was analyzed by means of bispectrum. Three inter-band  
7 features were extracted from bispectrum matrices: bispectral relative power (BispRP), cubic  
8 bispectral entropy (BispEn), and bispectral median frequency (BispMF). BispRP results showed  
9 an increase of delta- and theta-interactions with other frequency bands, and the opposite behavior  
10 for alpha, beta-1 and beta-2. Delta- and theta-interactions with the rest of the spectrum also  
11 experimented a decrease of BispEn with the disease progression, suggesting these bands  
12 interact with a reduced variety of components in advanced stages of dementia. Finally, BispMF  
13 showed a consistent reduction along the AD continuum in all bands, which reflects an interaction  
14 of the global spectrum with lower frequency bands as the disease develops. Our results indicate a  
15 progressive decrease of inter-band interactions with the severity of the disease, especially those  
16 involving high frequency components. Since inter-band coupling oscillations are related with  
17 complex and multi-scaled brain processes, these alterations likely reflect the neurodegeneration  
18 associated with the AD continuum.

19 **Keywords:** electroencephalography (EEG); bispectrum; Alzheimer's disease (AD); mild cognitive impairment (MCI); AD continuum;  
20 interactions

## 1 INTRODUCTION

21 Dementia due to Alzheimer's disease (AD) is a progressive neurological disorder that exhibits brain changes  
22 leading to cognitive and physical impairment. AD is the most common case of dementia, counting between  
23 60 and 80% of all cases (Alzheimer Association, 2018). Some general symptoms are typically associated

24 with AD, such as loss of short-term memory, behavioral changes, and problems with abstract reasoning,  
25 planning and decision making (Alzheimer Association, 2018). Dementia progression is mainly divided  
26 in three severity stages: mild, moderate and severe (Reisberg *et al.*, 1982). Mild AD patients ( $AD_{MIL}$ )  
27 are generally independent in a daily basis, requiring some assistance in order to ensure safety. Often,  
28 they are able to perform high cognitive tasks, such as driving, working or leisure activities. Subsequently,  
29 moderate AD patients ( $AD_{MOD}$ ) usually have difficulties with routine tasks and may exhibit confusion  
30 about time and place.  $AD_{MOD}$  patients tend to show the first behavioral changes, such as agitation and  
31 suspiciousness. Finally, in the last stage of AD, severe AD patients ( $AD_{SEV}$ ) become unable to perform any  
32 day-to-day activity until they are completely dependent to survive. At this point, verbal communication is  
33 also limited (Reisberg *et al.*, 1982). A transitional period between healthy cognition and early dementia is  
34 likely to occur. This stage is called mild cognitive impairment (MCI) (Petersen, 2004). MCI due to AD is  
35 considered a prodromal form of the disease, since 15% of subjects with this condition develop AD per year  
36 (Davatzikos *et al.*, 2011), whilst only 1-2% of people not suffering from any pathological cognitive decline  
37 begin to manifest dementia symptoms (Petersen, 2004). MCI is described with slight cognitive deficits, but  
38 insufficient to precise a dementia diagnosis (Petersen, 2004).

39 In order to help diagnose AD and MCI, electroencephalography (EEG) has been widely used (Vecchio  
40 *et al.*, 2013). EEG is a non-invasive technique to measure the spontaneous electrical activity of the brain  
41 over time. A set of electrodes placed on the scalp acquires the voltage fluctuations generated by groups of  
42 synchronized neurons. EEG spectrum has been extensively demonstrated to be susceptible of reflecting  
43 dementia. EEG frequency-based measures, such as median frequency (Hornero *et al.*, 2009; Penttilä *et al.*,  
44 1985) and spectral entropies (Abásolo *et al.*, 2006; Maturana-Candelas *et al.*, 2019), have been applied in  
45 this regard. Low values of median frequency and spectral entropy have been commonly associated with  
46 AD (Abásolo *et al.*, 2006; Hornero *et al.*, 2009; Maturana-Candelas *et al.*, 2019; Penttilä *et al.*, 1985). Other  
47 spectral parameters, such as alpha peak (McBride *et al.*, 2014) or spectral flux (Poza *et al.*, 2017), also  
48 showed significant differences between AD, MCI, and control groups.

49 Despite the invaluable potential of EEG spectral analyses to detect altered neuronal behavior, only power  
50 spectrum (PS) examinations are considered in most cases. A limitation of PS is its inability to measure  
51 non-linear interactions between frequency components. This issue is overcome by analyses that take higher  
52 order spectra (HOS) into account. HOS is defined in terms of higher order statistics, or “cumulants” (Nikias  
53 and Mendel, 1993). Whereas PS is the spectra of second-order cumulants, HOS of third-order cumulants is  
54 called bispectrum (Nikias and Mendel, 1993). Bispectrum is calculated through the Fourier transform of  
55 third-order statistics, where the skewness of the distribution of the series is reflected (Chua *et al.*, 2010).  
56 This point is crucial, as it permits to reveal divergences from Gaussianity. This allows bispectrum to discern  
57 non-linear interactions, such as phase coupling, which are suppressed under PS analyses (Nikias and  
58 Mendel, 1993). Although information contained in the PS is frequently enough to describe statistically any  
59 temporal series (Nikias and Mendel, 1993), extracting information of non-linear elements can be decisive  
60 to elucidate physiological perturbations from biomedical signals.

61 Many studies have applied bispectrum to EEG data in order to characterize different diseases and  
62 cognitive processes. For instance, Yuvaraj *et al.* (Yuvaraj *et al.*, 2018) applied HOS to develop a diagnosis  
63 algorithm able to discriminate controls from patients with Parkinson's disease. In other study, an automatic  
64 epileptic seizure detector using HOS-based measures was designed (Chua *et al.*, 2007). Bispectrum has  
65 been also employed to determine the depth of anesthesia by Bispectral Index (BIS) calculation (Rampil,  
66 1998; Tiefenthaler *et al.*, 2018). AD has been studied by means of bispectrum analyses as well. In fact,  
67 a significant decrease of BIS has been observed in patients with dementia (Renna *et al.*, 2003; Spiegel

68 et al., 2006). Bispectral methods have also been used for emotion assessment (Hosseini, 2012) and the  
69 analysis of short-term memory processing (Schack et al., 2002), suggesting that interactions between  
70 oscillators at different frequency bands are related with complex neuronal processes. Bressler (Bressler,  
71 1995) remarked the importance of cooperation between different cortical areas to achieve complex brain  
72 operations. Since AD is widely known as a “disconnection syndrome” (Delbeuck et al., 2003), the study of  
73 inter-band interactions may therefore help to elucidate these disturbances in neocortical dynamics.

74 Previously, different bispectral features have been used to characterize EEG dynamics, such as the mean  
75 of bispectral magnitude (Nasrolahzadeh et al., 2018; Vaquerizo-Villar et al., 2018), sum of logarithmic  
76 amplitudes (Nasrolahzadeh et al., 2018; Vaquerizo-Villar et al., 2018), bispectral entropies (Nasrolahzadeh  
77 et al., 2018; Vaquerizo-Villar et al., 2018; Wang et al., 2015) or weighted center of bispectrum (Wang et al.,  
78 2015). These parameters were calculated from the triangular region that satisfies  $f_2 \geq 0$ ,  $f_2 \geq f_1$ ,  $f_1 + f_2 \leq$   
79  $f_s$ , being  $f_s$  the sampling frequency of the signal (Chua et al., 2010). These values are sufficient to evaluate  
80 the bispectrum due to its symmetry conditions (Chua et al., 2010). However, the parameters calculated  
81 from this region as a whole are unable to describe interrelations between different frequency bands. To  
82 overcome this limitation, we propose three measures: bispectral relative power (BispRP), bispectral cubic  
83 entropy (BispEn), and bispectral median frequency (BispMF), calculated from the regions of the bispectrum  
84 that display the interactions between each band and the global spectrum. To the best of our knowledge,  
85 no previous study analyzed specific inter-band regions of the EEG bispectrum to characterize the AD  
86 continuum.

87 Based on the aforementioned considerations, and since complex cognitive processes are related with  
88 interactions between inter-band components, we hypothesize that aberrant physiological activity caused by  
89 dementia may be reflected in alterations of these interactions. Therefore, our aim is to investigate whether  
90 the alterations of BispRP, BispEn and BispMF are able to characterize the progressive EEG disturbances  
91 along the AD continuum.

## 2 MATERIALS

### 92 2.1 Subjects

93 We analyzed the EEG from 252 subjects divided in five groups: 51 healthy control (HC) subjects, 51  
94 patients with MCI due to AD, 50 AD<sub>MIL</sub> patients, 50 AD<sub>MOD</sub> patients, and 50 AD<sub>SEV</sub> patients. Dementia  
95 and MCI due to AD were diagnosed on every subject following the criteria of the National Institute on  
96 Aging and Alzheimer's Association (Jack et al., 2018). Cognitive deficit for each subject was evaluated  
97 by means of the Minimental State Examination (MMSE) test (Folstein et al., 1975). For AD and MCI  
98 patients, age older than 65 and a diagnosis from a specialized physician were required to be included in  
99 their respective groups. On the other hand, the exclusion criteria were the following: i) presence of atypical  
100 signs of cognitive evolution, ii) history of active or under treatment neoplasia, iii) history of recent surgery,  
101 iv) history of hypercatabolic states, v) chronic alcoholism, and vi) indications of vascular pathology. HC  
102 were also evaluated whether they satisfied some requirements in order to participate in this study. The  
103 applied criteria were the following: i) age older than 65, ii) MMSE scores equal or higher than 27, and iii)  
104 absence of history of neurological or major psychiatric disorders. All subjects and caregivers gave written  
105 informed consent to participate in the study, according to the recommendations of the Code of Ethics of the  
106 World Medical Association (Declaration of Helsinki). The protocol was approved by The Ethics Committee  
107 at the Porto University (Porto, Portugal). Table 1 shows the demographic data of the participants.

## 108 2.2 EEG Recording

109 Five minutes of resting-state EEG data were acquired for each subject, while staying in a relaxed  
 110 position with their eyes closed. In order to minimize artifact presence, EEGs were recorded in a noise-free  
 111 environment. Researchers made sure to avoid drowsiness of the participants during the procedure. EEG  
 112 acquisition was performed with a 19-channel Nihon Kohden Neurofax JE-921A EEG System at electrodes  
 113 F3, F4, F7, F8, Fp1, Fp2, T3, T4, T5, T6, C3, C4, P3, P4, O1, O2, Fz, Cz, and Pz of the international 10-20  
 114 system. Sampling frequency was established at 500 Hz.

115 EEG data were converted to ASCII files and stored in a personal computer. A preprocessing procedure  
 116 was conducted according to these steps (Maturana-Candelas et al., 2019; Ruiz-Gómez et al., 2018b; Núñez  
 117 et al., 2017): i) mean removal; ii) finite impulse response (FIR) bandpass filtering with a Hamming window  
 118 between 1.5 and 30 Hz; iii) independent component analysis (ICA) to remove components associated with  
 119 myographic, cardiographic and oculographic noise; iv) segmentation into 5 s epochs; and v) visual rejection  
 120 of artifacted epochs. An average of  $38.81 \pm 13.03$  (mean  $\pm$  standard deviation) artifact-free epochs per  
 121 subject were selected. Digital procedures in this study were carried out with MATLAB<sup>®</sup> (R2018 version,  
 122 Mathworks, Natick, MA).

## 3 METHODS

### 123 3.1 Bispectral analysis

124 HOS describe the spectral properties of cumulants and moments of higher orders (Nikias and Mendel,  
 125 1993). Second-order spectra (PS, a function based on the signal autocorrelation in the time domain) methods  
 126 are frequently used to characterize AD (Dauwels et al., 2010). However, they are unable of perceiving  
 127 components that remain hidden because of their non-linear nature (Nikias and Mendel, 1993). Phase  
 128 and amplitude coupling between frequency components of a signal is a common concept that cannot be  
 129 measured by these conventional metrics. In this work, bispectrum (spectral representation of the third-order  
 130 cumulant) is used to study interactions between different frequency bands. Bispectrum of a signal  $x(t)$  is  
 131 defined as:

$$Bisp(f_1, f_2) = E[X(f_1)X(f_2)X^*(f_1 + f_2)], \quad (1)$$

132 where  $X(f)$  is the Fourier transform of the signal  $x(t)$ ,  $X^*(f)$  its complex conjugate and  $E[\cdot]$  corresponds  
 133 to the expectation operation (Chua et al., 2010). As a result, a bispectrum matrix is obtained, representing  
 134 the interactions between each pair of frequency components of the signal spectrum. In order to simplify  
 135 analysis, a grand-averaged matrix across all epochs and channels was obtained for each subject. The  
 136 resulting bispectrum matrix was normalized before any further procedure.

### 137 3.2 Bispectrum features

138 A wide variety of features extracted from bispectrum has been previously proposed, such as the mean of  
 139 bispectral magnitude, the sum of logarithmic amplitudes, the bispectral entropy and the weighted center of  
 140 bispectrum (Nasrolahzadeh et al., 2018; Vaquerizo-Villar et al., 2018; Venugopal and Ramakrishnan, 2014;  
 141 Wang et al., 2015). These parameters quantify global interactions between all the spectral components.  
 142 However, feature extraction is commonly calculated from the whole bispectrum matrix, ignoring particular  
 143 interactions between frequency bands. To overcome this limitation, three new parameters, which measure  
 144 the interaction of each frequency band with the global spectrum, are applied in this study: bispectrum

145 relative power (BispRP), bispectrum cubic entropy (BispEn) and bispectrum median frequency (BispMF).  
 146 Another novelty aspect of these parameters is the exclusion of the self-band elements located in the diagonal  
 147 for each calculation, allowing the assessment of the interactions between each band and strictly the rest  
 148 of the spectrum. This approach is not often considered when analyzing the bispectrum, and may provide  
 149 new insights about the categorization of the AD. Bands of interest corresponded to the classical frequency  
 150 bands: delta ( $\delta$ , 1.5-4 Hz), theta ( $\theta$ , 4-8 Hz), alpha ( $\alpha$ , 8-13 Hz), beta-1 ( $\beta_1$ , 13-19 Hz) and beta-2 ( $\beta_2$ ,  
 151 19-30 Hz). BispRP, BispEn and BispMF were calculated for each band of interest. These three parameters  
 152 describe inter-band coupling properties in a complementary way and may help to elucidate how AD affects  
 153 to neural interactions. The algorithms are defined below:

- 154 • BispRP describes the amount of accumulated bispectral power of a specific band interacting with the  
 155 rest of the spectrum. High values indicate that a certain band is associated with greater inter-band  
 156 interactions. BispRP is defined as:

$$BispRP = \sum_{(f_1, f_2) \in \rho} |Bisp(f_1, f_2)|, \quad (2)$$

157 where  $\rho$  corresponds to the region of the bispectrum matrix that reflects interactions between a specific  
 158 frequency band and the global spectrum, excluding the interactions among frequencies of that frequency  
 159 band.

160

- 161 • BispEn describes how bispectral values are distributed in the region associated with a specific frequency  
 162 band. BispEn is implemented in this study based on the Shannon definition of entropy (Chua et al.,  
 163 2010). Homogeneous-distributed interactions result in high BispEn values, whilst those condensed in  
 164 fewer components cause this parameter to decrease. BispEn is defined as follows:

$$BispEn = -\frac{1}{N} \sum_i p_i \ln(p_i), \quad (3)$$

165 where

$$p_i(f_1, f_2) = \frac{|Bisp(f_1, f_2)|^3}{\sum_{(f_1, f_2) \in \rho} |Bisp(f_1, f_2)|^3}, \quad (4)$$

166 being  $i$  each point in region  $\rho$  and  $N$  the total number of points in  $\rho$ .

167

- 168 • BispMF is defined as the frequency at which the total spectral power of the bispectrum at  $\rho$  is halved.  
 169 BispMF indicates the tendency of a frequency band synchronizing more with higher or lower frequency  
 170 components of the global spectrum. BispMF is defined below:

$$0.5 \sum_{(f_1, f_2) \in \rho} |Bisp(f_1, f_2)| = \sum_{(min, f_2) \in \rho} \sum_{f_1 \in \rho}^{BispMF} |Bisp(f_1, f_2)|. \quad (5)$$

### 171 3.3 Interpretation of bispectral features

172 In order to facilitate the understanding of the proposed features (BispRP, BispEn, and BispMF), these  
 173 metrics have been applied to three synthetic signals: (a) a sinusoidal signal with multiple intra-band

174 components (sine waves at 8.5, 9.4, 10, 12, and 12.9 Hz); (b) a sinusoidal signal with multiple inter-band  
175 components (sine waves at 3, 7, 11, 16, and 29 Hz); and (c) a white noise signal. Bispectrum, along with  
176 the aforementioned bispectral features, are represented in figure 1. Due to the large differences between  
177 high and low values in the bispectrum, the visualization scale has been adjusted to better reflect the former.  
178 For this reason, white regions of the bispectrum correspond to very low values, but they are not necessarily  
179 zero.

180 For the sinusoidal signal with multiple intra-band components (Figure 1.a) the bispectrum shows several  
181 interactions in the alpha region, as expected. Other inter-band components, located in delta/alpha region,  
182 appear due to the interaction between all the sine waves. Since intra-band interactions are discarded in  
183 this study (*i.e.* interactions within the 8-13 Hz area, in which the most prominent peaks appear), the  
184 only bispectral values that are considered to calculate any feature are the values for the inter-band ranges.  
185 Thereby, BispRP values are very low because most of the power of the bispectrum is located in the  
186 alpha/alpha range, which is discarded for BispRP computation. High BispRP values are obtained at delta  
187 and alpha, due to the interactions between them. Regarding BispEn, it describes the distribution of inter-  
188 band interactions. Thus, BispEn values are rather low for delta, theta, alpha, and beta-1, which indicates  
189 that only a few interactions appear in each inter-band region. Beta-2 is the band with the highest level  
190 of entropy because no peaks are present in the region of interactions between beta-2 and the remaining  
191 frequency bands. Although beta-1 band does not seem to have any interaction as well, its proximity to the  
192 alpha band contributes to lower entropies. Finally, BispMF describes the median frequency component  
193 with which another band is interacting with. As expected, delta, theta, beta-1, and beta-2 bands interact  
194 with alpha given no other activity in the bispectrum is present.

195 The bispectrum corresponding to the sinusoidal signal with multiple inter-band components (Figure  
196 1.b) shows interactions across all the bispectrum regions. In this case, BispRP values are almost equally  
197 distributed along bands. Analogously, BispEn shows similar values in each band, which means a similar  
198 distribution of inter-band interactions. For this signal, BispMF shows the predisposition of each band  
199 interacting with frequency components between 10 and 15 Hz, where are located the highest bispectrum  
200 values. Thus, BispMF for delta, theta, alpha, and beta-1 bands is around these two values. However, beta-2  
201 displays a much lower value due to the presence of meaningful interactions with delta and theta frequency  
202 bands.

203 Finally, the white noise signal (Figure 1.c) presents a high number of interactions distributed on the  
204 bispectrum. For this reason, BispRP is small in delta (due to its narrow frequency range), obtaining the  
205 highest value at beta-2 (owing to its wide frequency range), and shows intermediate values for theta, alpha,  
206 and beta-1. BispEn is high and uniform for all bands, as no dominant interactions appear. Finally, BispMF  
207 values coincide approximately with the middle of the bispectrum, except beta-1 and beta-2 that obtain  
208 values in the range of alpha band.

### 209 3.4 Statistical analysis

210 The statistical analysis was performed as follows. First, in order to evaluate normality and homoscedasti-  
211 city of our results, Kolmogorov-Smirnov and Levene test were conducted. Neither BispRP, BispEn nor  
212 BispMF results met parametric assumptions. Therefore, statistical differences between consecutive AD  
213 severity groups were assessed with Mann-Whitney *U*-test. In addition, False Discovery Rate (FDR) was  
214 used to deal with multiple comparisons problem (Benjamini and Hochberg, 1995).

## 4 RESULTS

215 Bispectrum and derived features were obtained for 51 HC subjects, 51 MCI patients, 50 AD<sub>MIL</sub> patients,  
216 50 AD<sub>MOD</sub> patients, and 50 AD<sub>SEV</sub> patients. Figure 2 displays the grand-averaged absolute values of  
217 bispectrum across channels for each group, showing a reduction of variety of inter-frequency coupling  
218 with the severity of the disease.

219 The distribution of BispRP, BispEn and BispMF, for each frequency band, are represented in figure 3.  
220 For this purpose, violin plots were employed. Statistical differences (FDR-corrected Mann-Whitney *U*-test)  
221 between consecutive groups are depicted on the top of each figure. Also, these values along with their  
222 respective *U*-values, are shown in table 2. Increasing tendencies in BispRP can be observed in delta and  
223 theta bands in the AD continuum. On the other hand, BispRP decreases with the severity of the disease in  
224 alpha, beta-1 and beta-2 bands. Alpha and beta-1 bands showed statistically significant differences between  
225 the most severe groups (AD<sub>MOD</sub> and AD<sub>SEV</sub>). In theta and beta-2 frequency bands, significant differences  
226 between HC and MCI subjects were also found.

227 BispEn displayed a decrease with the severity of AD in delta and theta bands, showing statistically  
228 significant differences between AD<sub>MOD</sub> and AD<sub>SEV</sub> groups. On the other hand, alpha, beta-1, beta-2 did  
229 not show any clear tendency with AD progression.

230 Finally, BispMF displays a decreasing trend on all frequency bands along the progression of AD. Delta  
231 and theta bands exhibited statistical differences between HC and MCI. BispMF results in theta band showed  
232 a transition from upper-theta to under-theta frequencies. MCI and AD<sub>MIL</sub>, as well as AD<sub>MOD</sub> and AD<sub>SEV</sub>  
233 showed statistically significant differences at beta-2 band. Furthermore, significant differences for the  
234 AD<sub>MOD</sub> vs. AD<sub>SEV</sub> comparison were obtained in all frequency bands.

## 5 DISCUSSION

235 Three bispectrum features (BispRP, BispEn and BispMF) were calculated for the five groups under study,  
236 from non-pathological elder subjects to severe cognitive-impaired AD patients. Our results suggest changes  
237 on interactions between EEG oscillators at different frequency bands in the development of the disease.

238 BispRP is the sum of the bispectrum values of each frequency band interacting with the global spectrum.  
239 Higher values of BispRP in a frequency band indicate more interactions between frequency components of  
240 that band with the others. As figure 3 reflects, BispRP in delta and theta frequencies increases with the  
241 severity of the disease. This could be interpreted not just as an increase of coupled interactions between delta  
242 and theta with the rest of the bands, but also as a reduction of interactions of the higher frequency bands  
243 with the global spectrum. On the other hand, alpha, beta-1, and beta-2 bands exhibited a BispRP decrease  
244 along the AD development, which may be related with a loss of coupling involving higher frequency  
245 components. The results from previous works exploring cross-frequency modulations on resting-state  
246 AD patients are consistent with these alterations (Engels et al., 2016; Fraga et al., 2014). For instance,  
247 a significant delta modulation decrease of beta frequency band and an increased delta modulation with  
248 theta band were reported, both intensified by the severity of the disease (Fraga et al., 2014). Furthermore,  
249 lower alpha/beta interactions have also been observed in AD (Fraga et al., 2014; Palva and Palva, 2007),  
250 being reported as signs of lower cognitive ability (Palva and Palva, 2007). Additionally, a decrease of  
251 cross-frequency coupling between beta band and all other bands was found in AD patients (Engels et al.,  
252 2016). This may suggest that interactions involving alpha and beta frequency bands are present in functional  
253 processes, which are lost throughout neurodegeneration.

254 BispEn was calculated to assess homogeneity of the distributions of interactions. As it can be observed,  
255 only delta and theta exhibited a decreasing tendency in entropy with AD progression, suggesting interactions  
256 of those bands with fewer components of the global spectrum. A decrease in EEG spectral irregularity  
257 is a widely known effect of neurodegeneration (Abásolo et al., 2006; Maturana-Candelas et al., 2019;  
258 Ruiz-Gómez et al., 2018a), which has been assessed by means of entropy analyses. Besides, an overall  
259 decrease of bispectral entropy was also observed in AD patients (Wang et al., 2015). Entropy is potentially  
260 capable to discriminate time series generated by different systems (Costa et al., 2005), which may be related  
261 with neural dynamic cooperation. Interestingly, our results indicate that these entropy alterations only occur  
262 at low frequencies, and loss of entropy in inter-band interactions at these frequencies is progressive in the  
263 AD continuum.

264 BispMF expresses which components of the global spectrum interacts predominantly with each frequency  
265 band of interest. Noteworthy, BispMF experimented a consistent reduction at each band as AD severity  
266 increases. The cause of these tendencies is two-fold. First, interactions involving higher frequency bands  
267 (alpha and beta) is diminished, and second, interactions between delta and theta are increased. This insight is  
268 consistent with our BispRP results, which show an increasing presence of delta and theta bands interactions  
269 with the global spectrum in more severe stages of AD. Alterations involving alpha and beta frequency  
270 bands have been previously related to AD common symptoms. For instance, theta/beta band coupling has  
271 been associated with reward/gain motivation (Putman et al., 2010), and theta/alpha interactions seem to  
272 be involved in retention of pictorial items (von Stein and Sarnthein, 2000). Changes in these cognitive  
273 capabilities are symptoms observed along the disease progression (Alzheimer Association, 2018; Robert  
274 et al., 2006). In fact, disturbances in these interactions have been used to develop a biomarker system to  
275 detect impaired cognitive states (Dimitriadis et al., 2015). Furthermore, a decrease of delta/beta interactions  
276 were associated with behavioral perturbations (Knyazev, 2007; Schutter et al., 2006), also related with AD  
277 (Chow, 2000). According to lower frequency bands, previous research aiming to study delta/theta coupling  
278 reported an increase of these interactions in mouse models of AD (Jyoti et al., 2010; Wisor et al., 2005).  
279 These disturbances from normal EEG behavior were also strongly linked with increased amyloid beta  
280 deposition (Jyoti et al., 2010; Wisor et al., 2005), thus a decrease of BispMF and an increase of BispRP in  
281 delta and theta measures seem a natural consequence of neurodegeneration.

282 In general, our results of EEG inter-band interactions indicate presence of neuronal interconnected  
283 systems and, thus, may help to elucidate multi-scaled brain processes from a physiological standpoint.  
284 Previous studies have assessed complex physiological mechanisms, such as attention (Palva, 2005) and  
285 learning performance (Canolty and Knight, 2010), in terms of cross-frequency synchronization. Further  
286 evidence has been reported about inter-band cross-frequency coupling in other brain processes, like working  
287 memory and reward stimulation (Cohen et al., 2009; Palva, 2005), suggesting these aspects to be associated  
288 with information processing and communication in large-scale brain networks (Canolty and Knight, 2010;  
289 Voytek, 2010). Bressler (Bressler, 1995) also pointed out that interareal synchronization (*i.e.*, exact wave  
290 frequency and phase locking) is related with functional connections between cortical areas. This leads to  
291 think that different brain subsystems work synchronously so that more complex physiological processes  
292 can take place. In fact, loss of general EEG synchronization has been found in AD (Dauwels et al., 2010;  
293 Stam et al., 2003, 2005). Since this parameter has been linked with cooperation among diverse neuronal  
294 groups (Bressler, 1995), alterations in bispectral features may reflect impaired connectivity across the brain,  
295 in the form of neural pathways disruption by tissue alterations or neurotransmitter deficits (Jelles et al.,  
296 1999; Jeong, 2004; Tononi, 1998).

297 Although differences between five groups of progressive AD severity have been obtained, several issues  
298 must be taken into account in order to enhance the performance of this study. Firstly, differences in  
299 inter-band interactions may be much more evident whether EEG is acquired under cognitive tasks. Cross-  
300 frequency coupling is especially visible when certain brain networks manage electrical activity of this  
301 kind. For instance, phase synchrony has been mainly studied in these circumstances (Palva, 2005; Pockett  
302 et al., 2009). For this reason, resting-state acquisition may have reduced the impact of these interactions.  
303 Secondly, since phase coupling is suggested to be related with activity at specialized brain regions, the  
304 obtained grand-average bispectrum values from the entire skull may diminish statistical differences in the  
305 calculated features. Previous research has appointed that specific channels may be more suitable to measure  
306 non-linear interactions (Fraga et al., 2014; Wang et al., 2015). Additionally, AD does not alter the entire  
307 brain, but particular neural networks (He et al., 2008). In future works, we will aim to carry out further  
308 research specifying for local brain areas under diverse mental procedures and comparing the functional  
309 role of multiple frequency bands. Finally, resting-state neuronal rhythms have been demonstrated to be  
310 sensible to acquisition conditions, such as having the eyes open (Barry et al., 2007). Potentially, new  
311 frequency interactions could emerge by altering this factor. However, in this study EEG data were obtained  
312 exclusively from patients with the eyes closed. Studying the EEG from patients with the eyes open may  
313 expose new insights on neurodynamic behavior. Therefore, we will try to obtain new EEG recordings in  
314 this condition to conduct further investigation of the influence of neurodegeneration in inter-band coupling.

## 6 CONCLUSIONS

315 In this study, bispectrum and novel derived features were computed from EEG signals of MCI and  
316 AD patients. Our results suggest an overall decrease of spectral component interactions involving high  
317 frequency bands. This point is consistent with previous research aiming to relate brain functionality with  
318 inter-band synchronization. The underlying physiological phenomena of neurodegeneration processes  
319 could imply loss of communication between specialized neuronal groups. This may provoke the discussed  
320 tendencies on our bispectrum results with the progression of the disease. We can conclude that the analysis  
321 of inter-band interactions by means of bispectrum is able to characterize AD continuum.

## CONFLICT OF INTEREST STATEMENT

322 The authors declare that the research was conducted in the absence of any commercial or financial  
323 relationships that could be construed as a potential conflict of interest.

## AUTHOR CONTRIBUTIONS

324 AM-C, CG and JP collected the signals. AM-C processed the signals, analyzed the data, and wrote the  
325 manuscript. CG and RH designed the study and interpreted the results. JP and SR-G interpreted the results.  
326 All authors have read and approved the final manuscript.

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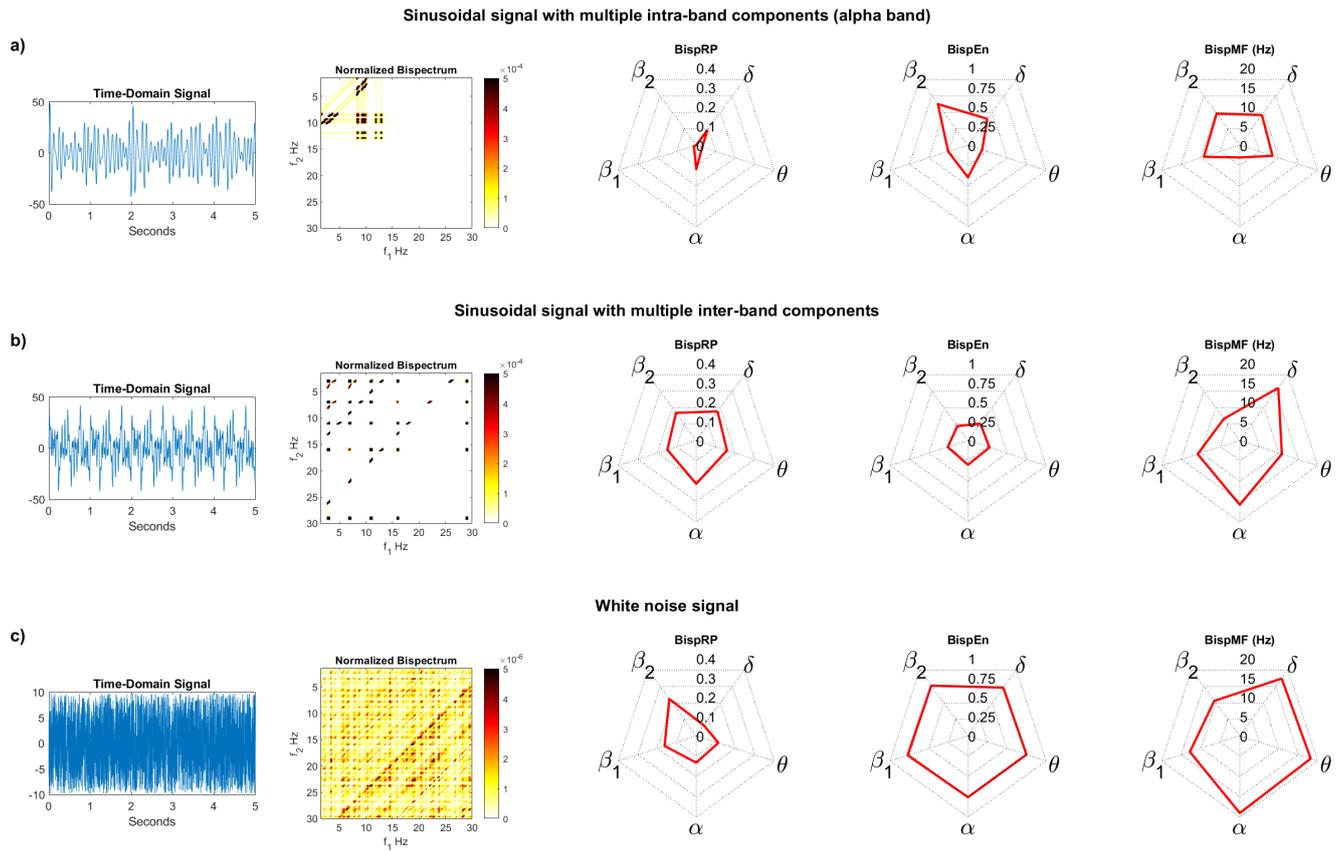
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**Table 1.** Demographic data.  $\bar{x}$ : mean;  $\sigma$ : standard deviation; education: (Pr: primary education or below, Sc: secondary education or above); smoking habits: (Y: smoker, Ex: ex-smoker, N: non-smoker); dietary habits: (M: balanced/mediterranean, A: antidiabetic, H: hypocaloric); alcohol consumption: (Y: occasional drinker, N: non-drinker); clinical story: (C: cardiovascular, as arterial hypertension, high cholesterol, etc.; S: sensorimotor as visual impairment, abnormal gait, etc.; H: hormonal, as diabetes, thyroid dysfunctions, etc.). Clinical story describes prevalence of clinical issues in the sample. Several diseases can affect the same subject and can only count one of each type.

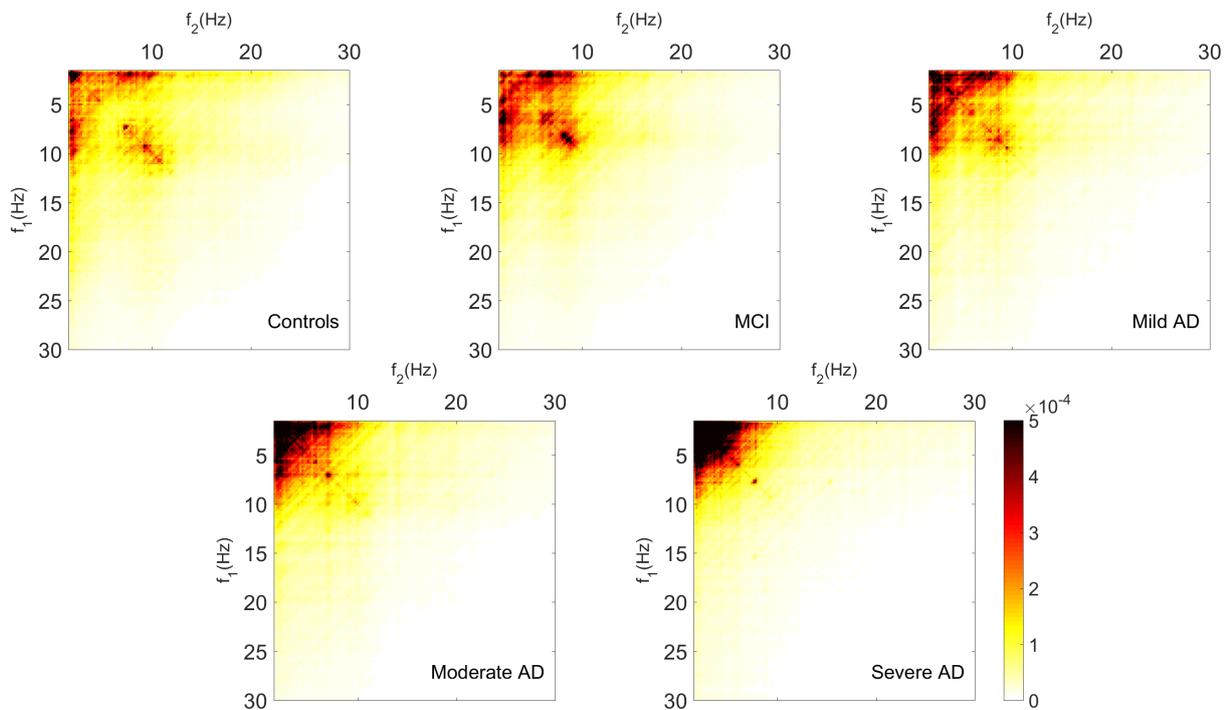
Group	N	Age ( $\bar{x} \pm \sigma$ )	Sex (F:M)	MMSE ( $\bar{x} \pm \sigma$ )	Education (Pr:Sc)	Smoker (Y:Ex:N)	Diet (M:A:H)	Alcohol (Y:N)	Diseases (C:S:H)
HC	51	80.1±7.1	25:26	28.8±1.1	33:18	1:11:39	42:7:2	18:33	30:1:12
MCI	51	85.5±7.3	36:15	23.3±2.8	38:13	2:5:44	45:3:3	9:42	32:12:10
AD <sub>MIL</sub>	50	80.5±6.9	29:21	22.5±2.3	36:14	3:4:43	44:4:2	7:43	30:10:9
AD <sub>MOD</sub>	50	81.3±8.0	43:7	13.6±2.8	37:13	1:6:43	44:6:0	5:45	28:4:18
AD <sub>SEV</sub>	50	80.0±7.8	43:7	2.4±3.7	45:5	0:2:48	42:6:2	0:50	33:5:16

**Table 2.** *U*-values and *p*-values from Mann-Whitney *U*-test for pairwise comparisons between consecutive groups (FDR-corrected). Comparisons with *p*-values below 0.05 are highlighted.

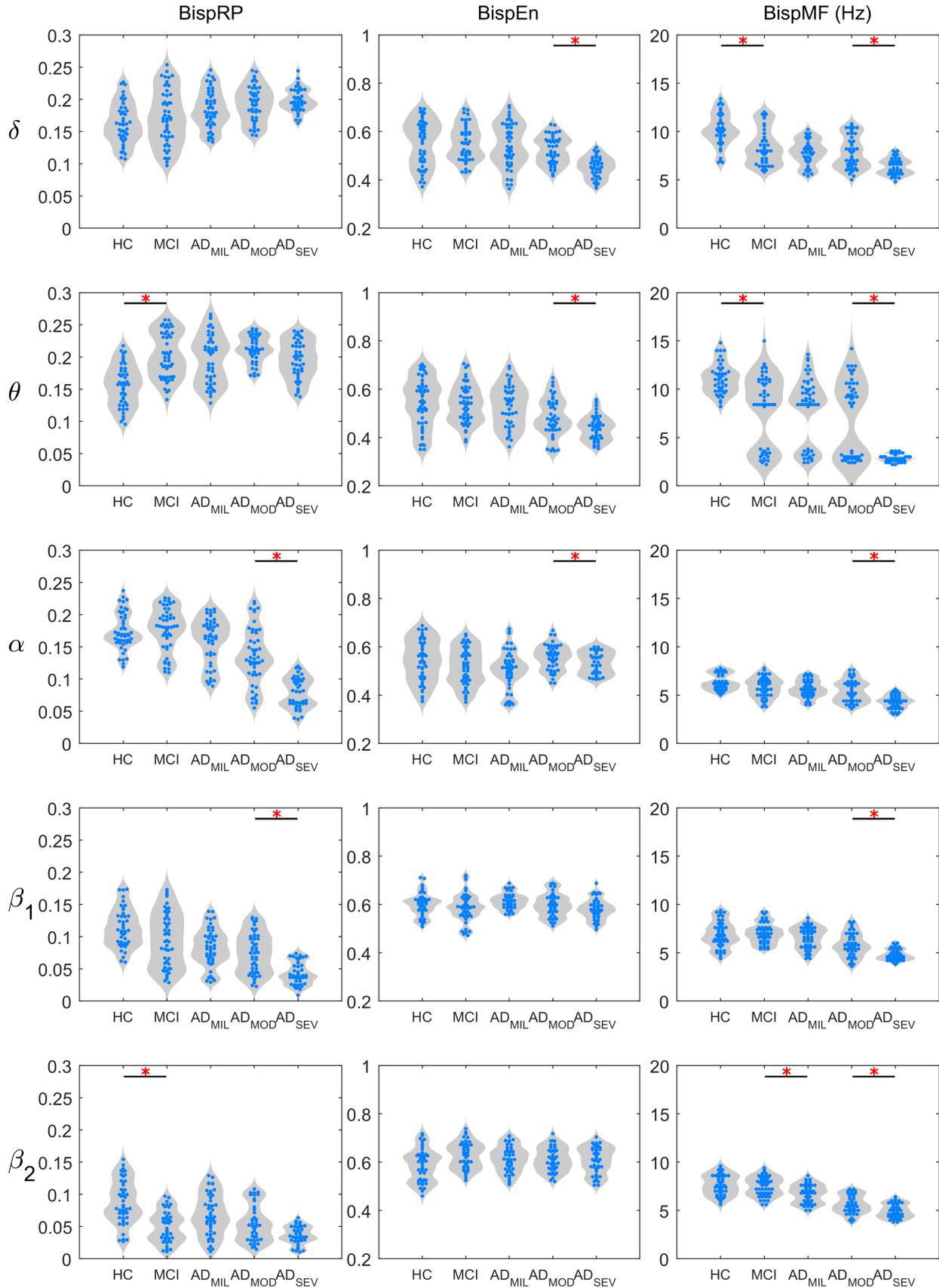
Band	Comparison (group vs. group)		BispRP		BispEn		BispMF	
			<i>U</i> -value	<i>p</i> -value	<i>U</i> -value	<i>p</i> -value	<i>U</i> -value	<i>p</i> -value
Delta	HC	vs. MCI	2574.0	0.8087	2662.0	0.8429	<b>3106.5</b>	<b>0.0151</b>
	MCI	vs. AD <sub>MIL</sub>	2402.0	0.3551	2729.0	0.5393	2670.5	0.7518
	AD <sub>MIL</sub>	vs. AD <sub>MOD</sub>	2452.0	0.7407	2661.0	0.5388	2727.0	0.3410
	AD <sub>MOD</sub>	vs. AD <sub>SEV</sub>	2506.0	0.9137	<b>2955.0</b>	<b>0.0188</b>	<b>2935.5</b>	<b>0.0233</b>
Theta	HC	vs. MCI	<b>2098.0</b>	<b>0.0082</b>	2741.0	0.6047	<b>3021.0</b>	<b>0.0378</b>
	MCI	vs. AD <sub>MIL</sub>	2701.0	0.6239	2766.0	0.4373	2681.5	0.7175
	AD <sub>MIL</sub>	vs. AD <sub>MOD</sub>	2347.0	0.4020	2700.5	0.4041	2657.5	0.5393
	AD <sub>MOD</sub>	vs. AD <sub>SEV</sub>	2791.0	0.1613	<b>2905.0</b>	<b>0.0378</b>	<b>2955.0</b>	<b>0.0188</b>
Alpha	HC	vs. MCI	2522.0	0.6209	2739.0	0.6047	2803.0	0.4083
	MCI	vs. AD <sub>MIL</sub>	2407.0	0.1085	2748.0	0.5048	2837.5	0.2501
	AD <sub>MIL</sub>	vs. AD <sub>MOD</sub>	2864.0	0.0712	2196.0	0.0743	2580.0	0.8087
	AD <sub>MOD</sub>	vs. AD <sub>SEV</sub>	<b>3171.0</b>	<b>0.0005</b>	<b>2902.0</b>	<b>0.0378</b>	<b>2959.0</b>	<b>0.0188</b>
Beta-1	HC	vs. MCI	2921.0	0.1281	2735.0	0.6128	2581.0	0.8202
	MCI	vs. AD <sub>MIL</sub>	2815.0	0.3267	2412.0	0.3879	2781.5	0.4020
	AD <sub>MIL</sub>	vs. AD <sub>MOD</sub>	2653.0	0.5393	2578.0	0.8087	2849.0	0.0767
	AD <sub>MOD</sub>	vs. AD <sub>SEV</sub>	<b>3102.0</b>	<b>0.0021</b>	2799.0	0.1484	<b>2985.0</b>	<b>0.0151</b>
Beta-2	HC	vs. MCI	<b>3126.0</b>	<b>0.0126</b>	2285.0	0.0743	2581.5	0.8202
	MCI	vs. AD <sub>MIL</sub>	2438.0	0.4373	2806.0	0.3410	<b>3033.0</b>	<b>0.0188</b>
	AD <sub>MIL</sub>	vs. AD <sub>MOD</sub>	2655.0	0.5393	2524.0	0.9972	2862.0	0.0712
	AD <sub>MOD</sub>	vs. AD <sub>SEV</sub>	2822.0	0.1117	2562.0	0.8429	<b>2949.0</b>	<b>0.0188</b>



**Figure 1.** Time-domain synthetic signals with bispectrum values and BispRP, BispEn, and BispMF values for each band



**Figure 2.** Grand-average of bispectrum values for each group.



**Figure 3.** BisRP, BisEn, and BisPMF value distributions on each band. Statistically significant differences between consecutive groups are indicated with a red asterisk ( $p$ -values  $< 0.05$ , FDR-corrected Mann-Whitney  $U$ -test).